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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number 10/001,588

Filing Date October 31, 2001

First Named Inventor Lidong Zhou:

Group Art Unit 2184

Confirmation No. 1944

Total Number of Pages in This Submission

Attorney Docket Number 10845-137

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ENCLOSURES (check all that apply)

☐ Fee Transmittal Form☐ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/ Incomplete Application☐ Response to Missing Parts under 37 CFR 1.52 or 1.53☐ Assignment Papers (for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a Provisional Application☐ Power of Attorney, Revocation Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication to Group.☐ Appeal Communication to Board of Appeals and Interferences☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please identify below):

PTO Form 1449 with copies of cited references; and return receipt postcard.

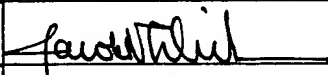
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Jacob N. Erlich, Reg. No. 24,338

Signature



Date

AUG 5, 2002

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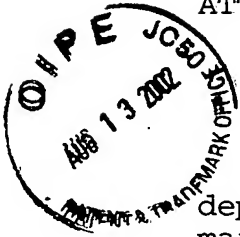
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Lidong Zhou, et al. Group Art Unit: 2184
Serial Number: 10/001,588 Confirmation No.: 1944
Filed: October 31, 2001
For: SECURE DISTRIBUTED ON-LINE CERTIFICATION AUTHORITY

PERKINS, SMITH & COHEN, LLP
One Beacon Street
Boston, MA 02108
(617) 854-4000

To: Commissioner for Patents
Washington D.C. 20231-0001

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement (Form PTO-1449) (including copies of references) is submitted under 37 CFR 1.97(b)(3).

REMARKS

Applicants submit herewith an Information Disclosure Statement under 37 CFR 1.97(b)(3) before the mailing of a first office action on the merits. In view thereof, no fee is required, however, in the event fees are required please charge any underpayment of fees to or credit any overpayment of fees to Deposit Account No. 03-2410, Order No. 10845-137.


The following information is presented in the event that a call may be deemed desirable by the Examiner:

JACOB N. ERLICH (617) 854-4000.

Respectfully submitted,

Lidong Zhou, et al., Applicants

Dated: AUG 5, 2002

By: 
Jacob N. Erlich
Reg. No. 24,338
Attorney for Applicants

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FORM PTO-1449
U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

APPLICATION SERIAL NO.: 10/001,588

GROUP ART UNIT: 2184

APPLICANT: Lidong Zhou, et al.

EXAMINER: N/A

FILING DATE: October 31, 2001

CONFIRMATION NO.: 1944

U.S. PATENT DOCKETS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS/SUB- CLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS/SUB- CLASS	TRANSLATION YES NO

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, etc.)

	M.K. Reiter, et al., " <i>The Ω Key Management Service</i> ", Journal of Computer Security, pp. 1-10
	M. Castro & B. Liskov, " <i>Practical Byzantine Fault Tolerance</i> ", Proceedings of the Third Symposium on Operating Systems Design and Implementation, 2/1999, pp. 173-186
	D. Malkhi & M. Reiter, " <i>Byzantine Quorum Systems</i> ", Distributed Computing., 1998, 11 pp. 1-14
	A. Juels & J. Brainard, " <i>Client Puzzles: A Cryptographic Countermeasure Against Connection Depletion Attck</i> ", Proceedings of the 1999 Network & Distributed System Security Symposium, San Diego, CA, February 4-5, 1999, pp. 151-165

EXAMINER**DATE CONSIDERED**